

# Employer Authorization Form—Payroll Deduction

## Instructions

Please complete this form to establish payroll deductions for the LoneStar 529 Plan® for your employees. This form must be completed and submitted prior to the Plan’s acceptance of contributions from any of your employees.

Please print clearly in all CAPITAL LETTERS using black ink. Color in circles completely. For example: ● not ⊗ not ✓

If you have any questions, please call us at **1.800.445.GRAD (4723), option #4**, Monday through Friday from 8am to 6pm Central Time. Once completed, please mail or fax this form to LoneStar 529 Plan at the following address:

LoneStar 529 Plan  
c/o NorthStar Financial Services Group, LLC  
P.O. Box 540010  
Omaha, NE 68154

Fax: **1.402.431.4452**

### 1 | Employer Information

_____ Company name		_____ Federal Tax ID number	
_____ Company street address		_____ City	_____ State      _____ Zip
_____ Contact person		(    ) _____ Telephone number	
_____ Title		(    ) _____ Fax number	
_____ Email address		_____ Number of employees in company	

- A. Approximate date company plans to begin payroll deduction (mm/yyyy): \_\_\_\_\_
- B. Frequency of deposits:  Weekly  Biweekly  Monthly  Quarterly  Other
- C. Do you use a third-party vendor?  No  Yes, name of vendor: \_\_\_\_\_
- D. How do you intend to send in contributions?

**Via check - You must send a spreadsheet of employee names, account numbers and dollar amounts.**

You can either fax the spreadsheet to 1.402.431.4452 or email the spreadsheet to LoneStar529@nstar-financial.com.

Make check payable to "LoneStar 529 Plan" and mail to:

LoneStar 529 Plan  
 c/o NorthStar Financial Services Group, LLC  
 P.O. Box 540010  
 Omaha, NE 68154

**Via wire for ALL employees - Please send one consolidated contribution amount for ALL employees using the instructions below. You must send a spreadsheet of employee names, account numbers and dollar amounts.**

You can either fax the spreadsheet to 1.402.431.4452 or email the spreadsheet to LoneStar529@nstar-financial.com.

Send wires as follows:

First National Bank  
 ABA# 104000016  
 Account Name: Texas 529 Plan  
 Account# 110427887  
 For Further Credit: *(insert Company Name)*  
 For Further Credit Account Number(s): *(insert applicable account numbers)*

**Via ACH for EACH employee by account number - Send an Individual ACH for EACH employee using these instructions:**

First National Bank  
 ABA# 104000016  
 Account# 9108369 \_\_\_\_\_  
 (Your employee's 10-digit account number)

The LoneStar 529 Plan® is established and maintained by the Texas Prepaid Higher Education Tuition Board and distributed by Northern Lights Distributors, LLC, Member FINRA/SIPC. NorthStar Financial Services Group, LLC, the parent company of Northern Lights Distributors, LLC, is the Plan manager and administrator of the Plan.

As stated in the current Plan Description and Savings Trust Agreement, total Plan fees for the portfolios range from 0.66% to 2.48% with a maximum sales charge of 5.75% and are subject to change.

Some states offer favorable tax treatment to their residents only if they invest in the state's own plan. Non-residents of Texas should consider whether their home state, or the beneficiary's home state, offers its residents any state tax or other state benefits, such as financial aid, scholarship funds, and protection from creditors that are only available for participants in that state's 529 plan.

You could lose money by investing in this investment option. Although the money market fund in which your investment option invests (the "underlying fund") seeks to preserve its value at \$1.00 per share, the underlying fund cannot guarantee it will do so. An investment in this investment option is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The underlying fund's sponsor has no legal obligation to provide financial support to the underlying fund, and you should not expect that the sponsor will provide financial support to the underlying fund at any time.

No part of an account, the principal invested nor any investment return, is insured or guaranteed by the FDIC, the state of Texas, the Texas Prepaid Higher Education Tuition Board, any other state or federal governmental agency or NorthStar or its affiliates. An account might not make money and could lose money (including the principal invested) if money is invested in the Plan. Interests in the Plan have not been registered with the U.S. Securities and Exchange Commission or with any state.

**Before investing in the Plan, investors should carefully consider the investment objectives, risks, administrative fees, service and other charges and expenses associated with municipal fund securities. The Plan Description and Savings Trust Agreement contains this and other information about the Plan and may be obtained by visiting [www.lonestar529.com](http://www.lonestar529.com) or calling 1.800.445.GRAD (4723), Option #4. Investors should read these documents carefully before investing.**

Comments or complaints may be forwarded to the Prepaid Higher Education Tuition Program, Office of the Comptroller of Public Accounts, at P.O. Box 13407, Austin, Texas 78711-3407 or at 1.512.936.2064.

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