

Change of Beneficiary Form



Under Texas law, the new Designated Beneficiary must be a “member of the family” of the former Designated Beneficiary as defined by federal law and described in the Plan Description and Savings Trust Agreement.

- ♦ For UGMA/UTMA, the beneficiary may not be changed.
- ♦ A change in beneficiary might result in a gift tax or generation skipping transfer tax. See Plan Description and consult your tax advisor.

Forms can be downloaded from our website at www.lonestar529.com or by calling a Customer Service Representative at **1.800.445.GRAD (4723), option #4**, Monday through Friday from 8am to 6pm Central Time. Return this form and any other required documents to:

LoneStar 529 Plan
c/o NorthStar Financial Services Group, LLC
P.O. Box 540010
Omaha, NE 68154

or Fax to: 402.431.4452

1 | CURRENT ACCOUNT INFORMATION

Account number

Name of Account Owner (first, middle, last)

Social Security number or Individual Taxpayer ID number

Name of Beneficiary (first, middle, last)

Social Security number

2 | NEW DESIGNATED BENEFICIARY INFORMATION

There are restrictions regarding the change of Beneficiary. Please refer to the Plan Description and Savings Trust Agreement for details.

Name of Designated Beneficiary (first, middle, last)

Social Security number or Individual Taxpayer ID number

Street address (no P.O. Boxes)

Date of Birth (mm/dd/yyyy)

City

State

Zip

U.S. Citizen/Resident Alien (Nonresident aliens are not eligible to participate in the Program.)

3 | TRANSFER AMOUNT

Do you already have an account for the new Beneficiary?

- Yes. Account number: _____.
- No

- Entire Balance** The Program will close the account you indicate in Section 1 and will transfer all of the assets to an account for your new Beneficiary. If the amount you want transferred to the new Beneficiary exceeds the maximum contribution allowance—or if the amount of your transfer causes the aggregate balance of all existing Program accounts for the new Beneficiary to exceed the maximum contribution allowance—the excess will remain in the account designated for your original Beneficiary under your current account number.
- Partial Balance** The Program will keep your account open for the original Beneficiary. The dollar amount or percentage you specify below will be transferred to the new Beneficiary’s account. If you are invested in more than one investment option, the dollar amount or percentage will be taken out on a proportional basis from each investment option based on your Elected Investment Allocation on file. If the amount you want transferred to the new Beneficiary exceeds the maximum contribution allowance—or if the amount of your transfer causes the aggregate balance of all existing Program accounts for the new Beneficiary to exceed the maximum contribution allowance—the excess will remain in the account designated for your original Beneficiary under your current account number.

\$ _____ or _____ %
 Dollar Amount Percentage

4 | INVESTMENT OPTIONS

Choose how you would like to change your investment selections.

- I want to keep the same investment options for my new Beneficiary. If you are invested in an age-based allocation and the new Beneficiary is in a different age bracket than the original Beneficiary, your asset allocation may change.
- I want to establish a new investment allocation for this account.
- Class A Units Advisor Class Units

PORTFOLIO OPTION	ALLOCATION
Age Based Portfolios	
Age Based Portfolio	%
Static Portfolios	
100% Equity Portfolio	%
Balanced Portfolio	%
Individual Fund Portfolios	
Large Cap Growth Portfolio	%
Large Cap Value Portfolio	%
All Cap Active Portfolio	%
Large Cap Passive Portfolio	%
Socially Responsible Portfolio	%
Small Cap Portfolio	%
Non-U.S. Equity Portfolio	%
Fixed Income Portfolio	%
Inflation Protected Bond Portfolio	%
U.S. Government Money Market Portfolio	%
TOTAL	100%

Elected Investment Allocation (EIA)

All future contributions will be allocated in the same manner as selected above. Changes to your EIA can be made online at www.lonestar529.com or by calling a Customer Service Representative at 1.800.445.GRAD (4723), option #4.

5 | SUCCESSOR ACCOUNT OWNER

You may name a Successor Account Owner for this account. In the event of your death, ownership of all assets in the account will be transferred to the Successor Account Owner. A Successor Account Owner will assume all rights with respect to the account the previous Account Owner had. Enforceability of a Successor Account Owner designation may vary by state. A transfer to a Successor Account Owner may have tax consequences. Consult your tax professional for more details.

- I would like the same Successor Account Owner for the new Beneficiary.
- I would like to establish a new Successor Account Owner for the new Beneficiary as follows:

_____ Successor Account Owner's name (first, middle initial, last) or entity name				_____ Relationship to Account Owner	
_____ Street address				_____ Social Security/U.S. Taxpayer ID number	
_____ City ()		_____ State ()	_____ County	_____ Zip	_____ Date of Birth (mm/dd/yyyy) (must be 18 or older)
_____ Daytime phone number		_____ Evening phone number		_____ Date of Trust (mm/dd/yyyy) (if applicable)	

- U.S. Citizen/Resident Alien** (Nonresident aliens are not eligible to participate in the Program.)

6 | ACCOUNT AGREEMENT AND SIGNATURE

I certify that the information I have provided, and all future information I will provide with respect to my LoneStar 529 Plan account, is true, complete, and correct. I have received, read, and agree to the terms set forth in the Plan Description and Savings Trust Agreement. **I certify that the new Designated Beneficiary is a "member of the family" of the current Beneficiary as defined by federal law and described in the Plan Description and Savings Trust Agreement.**

X _____
Signature of Account Owner Date

The LoneStar 529 Plan® is established and maintained by the Texas Prepaid Higher Education Tuition Board and distributed by Northern Lights Distributors, LLC, Member FINRA/SIPC. NorthStar Financial Services Group, LLC, the parent company of Northern Lights Distributors, LLC, is the Plan manager and administrator of the Plan.

As stated in the current Plan Description and Savings Trust Agreement, total Plan fees for the portfolios range from 0.66% to 2.48% with a maximum sales charge of 5.75% and are subject to change.

Some states offer favorable tax treatment to their residents only if they invest in the state's own plan. Non-residents of Texas should consider whether their home state, or the beneficiary's home state, offers its residents any state tax or other state benefits, such as financial aid, scholarship funds, and protection from creditors that are only available for participants in that state's 529 plan.

You could lose money by investing in this investment option. Although the money market fund in which your investment option invests (the "underlying fund") seeks to preserve its value at \$1.00 per share, the underlying fund cannot guarantee it will do so. An investment in this investment option is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The underlying fund's sponsor has no legal obligation to provide financial support to the underlying fund, and you should not expect that the sponsor will provide financial support to the underlying fund at any time.

No part of an account, the principal invested nor any investment return, is insured or guaranteed by the FDIC, the state of Texas, the Texas Prepaid Higher Education Tuition Board, any other state or federal governmental agency or NorthStar or its affiliates. An account might not make money and could lose money (including the principal invested) if money is invested in the Plan. Interests in the Plan have not been registered with the U.S. Securities and Exchange Commission or with any state.

Before investing in the Plan, investors should carefully consider the investment objectives, risks, administrative fees, service and other charges and expenses associated with municipal fund securities. The Plan Description and Savings Trust Agreement contains this and other information about the Plan and may be obtained by visiting www.lonestar529.com or calling 1.800.445.GRAD (4723), Option #4. Investors should read these documents carefully before investing.

Comments or complaints may be forwarded to the Prepaid Higher Education Tuition Program, Office of the Comptroller of Public Accounts, at P.O. Box 13407, Austin, Texas 78711-3407 or at 1.512.936.2064.

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